BPB Appeal No. A1327

IN THE MATTER OF	the Building Act 2004 (the Act)
------------------	---------------------------------

AND

IN THE MATTER OF

an Appeal to the Building Practitioners Board under Section 330(1)(a) by against a decision of the Registrar

DECISION OF THE BUILDING PRACTITIONERS BOARD

Date and location of hearing:	29 January 2020, in Auckland
Appeal heard by:	Richard Merrifield (Presiding Member) Mel Orange
	Robin Dunlop Faye Pearson-Green David Fabish

Appearances by:	(Appellant)

- 1.1 ("the Appellant") of Raglan applied for a Design Area of Practice ("AOP") 1 Licence under s287 of the Act and the Licensed Building Practitioners Rules 2007¹ ("the Rules").
- 1.2 The Registrar of Licensed Building Practitioners ("the Registrar") declined the Appellant's application and notified his decision by letter dated 19 September 2019. Notification of the decision included a notice of the right to appeal the decision to the Building Practitioners Board ("the Board").
- 1.3 On 10 October 2019 the Appellant lodged an appeal to the Board against the Registrar's decision.

2.0 Licensing scheme

- 2.1 To become licensed, a person must satisfy the Registrar that they can meet all the applicable minimum standards for licensing.² The minimum standards are set out as "Competencies" in Schedule 1 of the Rules. In determining whether a person meets a Competency, regard must be given to the extent to which the person meets the Performance Indicators set out for that competency in Schedule1³.
- 2.2 Where the Registrar declines an application the applicant has a right of appeal to the Board.⁴

3.0 Scope of the appeal

- 3.1 An appeal proceeds by way of rehearing⁵. However, the Board will not review matters outside the scope of the appeal⁶.
- 3.2 The appeal seeks the following relief:
 - The grant of a Design Licence AOP 1
- 3.3 In light of s335(4) and the Registrar's decision letter, the Board interprets its inquiry as being restricted to consideration of Competencies 2 and 3 for a Design Licence AOP 1.

Design Licence Competencies:

Competency 2:	Manage the building design process
Competency 3:	Establish design briefs and scope of work and prepare preliminary design

¹Incorporating amendments for 2008, 2009 and 2010.

²S286 of the Act and rule 4 of the Rules.

³Clause 4(2) of the Rules

⁴S330(1)(a) of the Act.

⁵S335(2) of the Act

⁶S335(4) of the Act

4.0 Registrar's report

- 4.1 The Registrar's decision to grant or decline a licence is informed by an assessor's recommendation⁷. The Board's Appeals Procedures require the Registrar to provide a report which includes all evidence used to reach the decision, including the assessors' recommendation.
- 4.2 The Registrar's report notes, at paragraph **18**, the following from the Assessor's recommendations:
 - [The Appellant] has some design and documentation knowledge, however it is limited and I don't believe from the evidence provided that she is able to undertake the full scope of work on her own accord without supervision.
 - Discussions with her referee / employer effectively confirmed that while [the Appellant] has reasonable skills she could not undertake work on a project without supervision.
 - There was not sufficient evidence and repeatability to recommend that a licence is offered.
- 4.3 The Registrar concluded:
 - I have been delegated under S312 (1) to review the assessment report and make a decision about [the Appellant's] application.
 - I reviewed the assessor's report and [the Appellant's] application.
 - I based my decision on the assessor's recommendation, for the reasons set out above. I did not consider that there was sufficient reason or concern to overrule the assessor's recommendation.

5.0 Appellant's Submissions

- 5.1 The Appellant included client and employer references and samples of previous work with her appeal.
- 5.2 The Board asked questions of the Appellant.

6.0 Board's consideration

6.1 The Board noted that the Registrar was satisfied that the Appellant met the following Competencies 1 and 4 for the Design licence:

Design Licence AOP 1 Competencies:

Competency 1: Comprehend and apply knowledge of the regulatory environment of the building construction industry. Competency 4: Develop design and produce construction drawings and documentation.

- 6.2 The Board then considered Competencies 2 and 3 for a Design (AOP 1) Licence. These Competencies can be demonstrated by meeting some or all of the performance indicators as listed in Schedule 1 of the Rules.
- 6.3 The LBP scheme is competency based, and it is up to the practitioner to demonstrate their competency.

Board's findings

- 6.4 The Board concluded that the Appellant had **provided** evidence to demonstrate that she met sufficient Performance Indicators to satisfy the requirements of Competencies 2 and 3 for a Design Licence AOP 1.
- 7.0 Board's Decision
- 7.1 Pursuant to s335(3) of the Act, the Board has resolved to reverse the Registrar's decision and licence with a Design (AOP 1) Licence.
- 7.2 The Board directs the Registrar to issue a Design (AOP 1) Licence to as soon as practicable.

8.0 Publication of Name

- 8.1 Pursuant to s339 of the Act, the Board may prohibit the publication of the Appellant's name and/or particulars.
- 8.2 The Board, having considered the circumstances of this appeal, directs that the name and the particulars of the Appellant **are not** to be made public.

Signed and dated this 3rd day of February 2020

Richard Merrifield (Presiding Member)

Advice Note (not part of Board's Decision)

Extracts from the Act:

"330 Right of Appeal

. . .

- (1) A person may appeal to the Board against any decision of the Registrar to-
 - (a) decline to licence the person as a building practitioner;
- (2) A person may appeal to a District Court against any decision of the Board–
 - (a) made by it on an appeal brought under subsection (1);

331 Time in which appeal must be brought

An appeal must be lodged–

- (a) within 20 working days after notice of the decision or action is communicated to the appellant; or
- (b) within any further time that the appeal authority allows on application made before or after the period expires."