BPB Appeal No. A1330

IN THE MATTER OF	the Building Act 2004 (the Act)
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AND

IN THE MATTER OF

an Appeal to the Building Practitioners Board under Section 330(1)(a) by against a decision of the Registrar

DECISION OF THE BUILDING PRACTITIONERS BOARD

Date and location of hearing:	29 January 2020, in Auckland
Appeal heard by:	Richard Merrifield (Presiding Member) Mel Orange Robin Dunlop Faye Pearson-Green David Fabish

Appearances by:		

1.0 Introduction

- 1.1 ("the Appellant") of Auckland applied for a Design Licence AOP 1 Licence under s287 of the Act and the Licensed Building Practitioners Rules 2007¹ ("the Rules").
- 1.2 The Registrar of Licensed Building Practitioners ("the Registrar") declined the Appellant's application and notified his decision by letter dated 18 March 2019. Notification of the decision included a notice of the right to appeal the decision to the Building Practitioners Board ("the Board").
- 1.3 On 22 November 2019 the Appellant lodged an appeal to the Board against the Registrar's decision.

2.0 Licensing scheme

- 2.1 To become licensed, a person must satisfy the Registrar that they can meet all the applicable minimum standards for licensing.² The minimum standards are set out as "Competencies" in Schedule 1 of the Rules. In determining whether a person meets a Competency, regard must be given to the extent to which the person meets the Performance Indicators set out for that competency in Schedule1³.
- 2.2 Where the Registrar declines an application the applicant has a right of appeal to the Board.⁴

3.0 Scope of the appeal

- 3.1 An appeal proceeds by way of rehearing⁵. However, the Board will not review matters outside the scope of the appeal⁶.
- 3.2 The appeal seeks the following relief:
 - The grant of a Design Licence AOP 1
- 3.3 In light of s335(4) and the Registrar's decision letter, the Board interprets its inquiry as being restricted to consideration of Competencies 2, 3 and 4 for a Design Licence AOP 1.

Design Licence AOP 1 Competencies:

Competency 2:	Manage the building design process.
Competency 3:	Establish design briefs and scope of work and prepare
	preliminary design.
Competency 4:	Develop design and produce construction drawings and
	documentation.

¹Incorporating amendments for 2008, 2009 and 2010.

²S286 of the Act and rule 4 of the Rules.

³Clause 4(2) of the Rules

⁴S330(1)(a) of the Act.

⁵S335(2) of the Act

⁶S335(4) of the Act

- 4.1 The Registrar's decision to decline a licence is informed by an assessor's recommendation⁷. The Board's Appeals Procedures require the Registrar to provide a report which includes all evidence used to reach the decision, including the assessors' recommendation.
- 4.2 The Registrar's report notes, at paragraph **18**, the following from the Assessor's recommendations:
 - [The Appellant] provided two projects for his assessment, one was AOP 1 one was AOP 2. Both projects failed to meet the competencies required for an LBP.
 - The [Appellant] was supported by one referee however the other was not so complimentary.
 - The [Appellant] did not demonstrate competent repeatability for the two projects
- 4.3 The Registrar concluded:
 - I have been delegated under S312 (1) to review the assessment report and make a decision about [the Appellant's] application.
 - I reviewed the assessor's report and [the Appellant's] application.
 - I based my decision on the assessor's recommendation, for the reasons set out above. I did not consider that there was sufficient reason or concern to overrule the assessor's recommendation."

5.0 Appellant's Submissions

- 5.1 The Appellant included 7 projects with his appeal.
- 5.2 The Board asked questions of the Appellant.

6.0 Board's consideration

- 6.1 The Board noted that the Registrar was satisfied that the Appellant met the following Competency 1 for the Design AOP 1licence:
 - Competency 1: Comprehend and apply knowledge of the regulatory environment of the building construction industry.
- 6.2 The Board then considered Competencies 2, 3 and 4 for a Design AOP 1 Licence. These Competencies can be demonstrated by meeting some or all of the performance indicators as listed in Schedule 1 of the Rules.

⁷ clause 10 and 11of the Rules

Board's findings

- 6.4 The Board concluded that the Appellant **failed to provide** evidence to demonstrate that he met sufficient Performance Indicators to satisfy the requirements of Competencies 2, 3 and 4 for a Design Licence AOP 1.
- 6.5 The Appellant provided several consented projects as part of his appeal documentation. He was questioned with regards to those plans and in particular with regard to errors the Board had identified in them. The Appellant struggled to understand the issues being raised as regards the drawings and claimed that they were not representative of his work. He indicated that if he was licensed, his designs would be of a better quality. He did not produce any evidence, other than his own assertion, to substantiate this. The Board also noted that his understanding of the design brief process was limited. The Board considers that the Appellant should spend time working under supervision of an experienced licensed person or an Architect to gain further knowledge and experience prior to reapplying to be licensed.

7.0 Board's Decision

7.1 Pursuant to s335(3) of the Act, the Board has resolved to uphold the Registrar's decision not to license with a Design Licence AOP 1.

8.0 Publication of Name

- 8.1 Pursuant to s339 of the Act, the Board may prohibit the publication of the Appellant's name and/or particulars.
- 8.2 The Board, having considered the circumstances of this appeal, directs that the name and the particulars of the Appellant **not** to be made public.

Signed and dated this 3rd day of February 2020 Richard Merrifield (Presiding Member)

Advice Note (not part of Board's Decision)

Extracts from the Act:

"330 Right of Appeal

. . .

- (1) A person may appeal to the Board against any decision of the Registrar to-
 - (a) decline to licence the person as a building practitioner;
- (2) A person may appeal to a District Court against any decision of the Board–
 - (a) made by it on an appeal brought under subsection (1);

331 Time in which appeal must be brought

An appeal must be lodged–

- (a) within 20 working days after notice of the decision or action is communicated to the appellant; or
- (b) within any further time that the appeal authority allows on application made before or after the period expires."