

**Before the Building Practitioners Board**

	BPB Complaint No. C2-01920
Licensed Building Practitioner:	Arthur May (the Respondent)
Licence Number:	BP 103806
Licence(s) Held:	Site AOP 1

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**Penalty Decision of the Board under section 318 of the Building Act 2004**

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Complaint or Board Inquiry	Complainant
Hearing Location	Wellington
Hearing Type:	In Person
Hearing Date:	27 November 2018
Substantive Decision Date:	17 January 2019
Penalty Decision Date:	12 February 2019
Board Members Present:	
	Chris Preston (Presiding)
	Richard Merrifield, LBP, Carpentry Site AOP 2
	Mel Orange, Legal Member
	David Fabish, LBP, Carpentry Site AOP 2

**Procedure:**

The matter was considered by the Building Practitioners Board (the Board) under the provisions of Part 4 of the Building Act 2004 (the Act), the Building Practitioners (Complaints and Disciplinary Procedures) Regulations 2008 (the Complaints Regulations) and the Board's Complaints and Inquiry Procedures.

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## Introduction

- [1] This penalty decision arises out of the Board's substantive decision in which it found that the Respondent had carried out or supervised building work or building inspection work that does not comply with a building consent (s 317(1)(d) of the Act).
- [2] Having found that one or more of the grounds in section 317 applies the Board must, under section 318 of the Act<sup>1</sup>, consider the appropriate disciplinary penalty, whether the Respondent should be ordered to pay any costs and whether the decision should be published.
- [3] In its substantive decision the Board set out its indicative position as regards penalty, costs and publication and invited the Respondent to make written submissions on those matters.
- [4] On 29 January 2019, the Board received the Respondent's submissions. It has considered them and made the following decisions.
- [5] It should be noted that the Respondent, in his submissions, stated that he wishes to appeal the Board's decision. Paragraph [15] of this Penalty Decision details his appeal rights.

## Penalty

- [6] The Board's initial view was that a fine of \$1,000 was the appropriate penalty for the disciplinary offence.
- [7] The Respondent submitted that as no harm was caused to the Complainant the penalty was excessive. He noted that the home to which the complaint related had been sold for a profit.
- [8] The Board, in imposing a fine, is not recompensing the Complainant. The fine is imposed to deter the Respondent and others from similar conduct and to uphold standards within the licensing regime.
- [9] The Respondent should note that the indicative fine was less than that which the Board normally imposes for similar conduct. The reduced amount was in recognition of the Respondent having taken responsibility for his error and having replaced the cladding.

- [10] The Board did not consider that the submissions made raise any further grounds for a reduction in the fine. The Board has therefore decided to uphold its initial view.

### **Costs**

- [11] The Board's initial view was that \$1,000 in costs was appropriate. The Respondent has submitted that he does not intend to appeal costs. The Board takes this as the Respondent accepting the indicative amount which is conformed.

### **Publication of Name**

- [12] The Board's initial view was there were no good reasons to further publish the matter. The Respondent has submitted that undue harm would be caused by publication. As publication was not intended the Board confirms its indicative decision.

### **Section 318 Order**

- [13] For the reasons set out above, the Board directs that:

**Penalty:** Pursuant to section 318(1)(f) of the Building Act 2004, the Respondent is ordered to pay a fine of \$1,000.

**Costs:** Pursuant to section 318(4) of the Act, the Respondent is ordered to pay costs of \$1,000 (GST included) towards the costs of, and incidental to, the inquiry of the Board.

**Publication:** The Registrar shall record the Board's action in the Register of Licensed Building Practitioners in accordance with section 301(1)(iii) of the Act.

**In terms of section 318(5) of the Act, there will not be action taken to publicly notify the Board's action, except for the note in the Register and the Respondent being named in this decision.**

- [14] The Respondent should note that the Board may, under section 319 of the Act, suspend or cancel a licensed building practitioner's licence if fines or costs imposed as a result of disciplinary action are not paid.

### **Right of Appeal**

- [15] The right to appeal Board decisions is provided for in s 330(2) of the Act<sup>ii</sup>.

Signed and dated this 12<sup>th</sup> day of February 2019



**Chris Preston**  
Presiding Member

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<sup>i</sup> **Section 318 of the Act**

- (1) *In any case to which section 317 applies, the Board may*
  - (a) *do both of the following things:*
    - (i) *cancel the person's licensing, and direct the Registrar to remove the person's name from the register; and*
    - (ii) *order that the person may not apply to be relicensed before the expiry of a specified period:*
  - (b) *suspend the person's licensing for a period of no more than 12 months or until the person meets specified conditions relating to the licensing (but, in any case, not for a period of more than 12 months) and direct the Registrar to record the suspension in the register:*
  - (c) *restrict the type of building work or building inspection work that the person may carry out or supervise under the person's licensing class or classes and direct the Registrar to record the restriction in the register:*
  - (d) *order that the person be censured:*
  - (e) *order that the person undertake training specified in the order:*
  - (f) *order that the person pay a fine not exceeding \$10,000.*
- (2) *The Board may take only one type of action in subsection 1(a) to (d) in relation to a case, except that it may impose a fine under subsection (1)(f) in addition to taking the action under subsection (1)(b) or (d).*
- (3) *No fine may be imposed under subsection (1)(f) in relation to an act or omission that constitutes an offence for which the person has been convicted by a court.*
- (4) *In any case to which section 317 applies, the Board may order that the person must pay the costs and expenses of, and incidental to, the inquiry by the Board.*
- (5) *In addition to requiring the Registrar to notify in the register an action taken by the Board under this section, the Board may publicly notify the action in any other way it thinks fit."*

<sup>ii</sup> **Section 330 Right of appeal**

- (2) *A person may appeal to a District Court against any decision of the Board—*
  - (b) *to take any action referred to in section 318.*

**Section 331 Time in which appeal must be brought**

*An appeal must be lodged—*

- (a) *within 20 working days after notice of the decision or action is communicated to the appellant; or*
- (b) *within any further time that the appeal authority allows on application made before or after the period expires.*